

Exhibit D.2

Ms. Smith's Email, dated 8-21-19, cc Ms. Hilarie and Mr. Peters, falsely claiming that I was required by SEC to report the five books I published in China and Taiwan before 2015 when I joined Allstate on U4.

Related email correspondences were included.

Published books and Intent to publish memoirs

Smith, Ann (Capital Region)

Sent: Wednesday, August 21, 2019 11:02 AM

To: SHAO, PAUL Y

Cc: Sweeney, Hilarie; Peters, David

Paul,

In our meeting yesterday, you shared that you have published 5 books in Chinese and that you were working on translating one of them to Chinese. You had 4 of the books sitting on the table in front of us. You also said you were working on your memoirs that would include fact-based chronology of your Allstate career and planned on publishing them in the future on Amazon. When I asked you more about the published books and whether this was an outside business activity, you said it was personal. When I asked if you received money for them, you responded that you did receive royalties from them. You also mentioned that they were published before you joined Allstate. You stated that you wrote for a hobby and that like a gardener it is not a business, it's personal and any income was personal.

I have consulted with our Field Compliance Principal Hilarie Sweeney and received the following clarification:

- Authoring these books is considered an outside business activity. As a registered representative with AFS, LLC you were required to disclose these books to AFS, LLC when you applied for affiliation. Please complete the OBA form online within the next 24-48 hours.
- As a reminder, if you are intending to conduct any business – such as publishing the memoirs, you should complete the OBA ahead of time to receive permission from AFS before proceeding with the OBA.

Hilarie also provided me the following information:

- Your Installation was conducted by Field Compliance Principal Hilarie Sweeney on 10/26/16. The authored books were not disclosed to your Principal.
- The Annual Compliance Questionnaire was completed by you on 5/5/17, 6/29/18 and 6/20/19. You did not disclose the books you authored when completing the questionnaire.
- The Supervisory Contact Checklist (SCC's) was conducted in-person with you on 10/11/16 by Designated Supervisory Principal (DSP) Cyndi Rowan, 6/01/17 & 10/9/18 by DSP Ann Smith. During these meetings you did not disclose the books or the prospect of additional books.
- During your 3 SCC's, 1 Installation and 3 Annual Compliance Questionnaires you did not disclose any books you had authored

Please refer to the Policies and Procedures Manual located on AFS Registration – Manuals and Bulletins – Policy and Procedures. I suggest you review the entire manual and pay special attention to Outside Business Activities (OBA). I would then suggest you and I get together to review any questions you may have, and I can assist you if there are any additional items that should be disclosed AFS, LLC

I have copied Hilarie on this email and our Regional Financial Services Leader, David Peters. Feel free to reach out to either of them with any questions.

<https://webmail.allstate.com/owa/?ae=Item&t=IPM.Note&id=RgAAADxb7xlvk9TRqH...> 8/30/2019

I have also reached out to our corporate relations team on your question around the parameters/restrictions of including Allstate in the memoirs. I will let you know when I have an answer.

Thanks,

Ann M. Smith Field Sales Leader Capital Region T: 703-994-1539 F: 877-863-6539 Ann.Smith@allstate.com



Ann M. Smith
Field Sales Leader
Capital Region
T: 703-994-1539
F: 877-863-6539
Ann.Smith@allstate.com



Ann M. Smith

RE: Published books and Intent to publish memoirs

Sweeney, Hilarie

Sent: Tuesday, August 27, 2019 8:31 PM
To: SHAO, PAUL Y; Smith, Ann (Capital Region)
Cc: Peters, David

Paul – my apologies for not responding sooner. In speaking with Ann it is my understanding prior to joining Allstate you published multiple books. In 2016 (6/5/16) you completed your U4 to begin the process of becoming registered with AFS, LLC. At this time these books should have been disclosed to AFS, LLC.

Please follow the steps below to disclose the books. If you are involved in any other Outside Business Activities you also need to disclose these to AFS, LLC (i.e. rental property, treasurer for any organization, board of directors etc.). When disclosing the books you will only need to complete one Outside Business Activity form (listing all books) and any funds/royalties you may be receiving (dollar amount) need not be disclosed. The steps are below.

Agency Gateway – AFS Registration/Trax – 2019 Annual Compliance Requirements – Outside Business Activity – Add New Item – Complete the form – Save – Certify and Complete

I am available to review this process with you and answer any questions you may have. Let me know. -Hilarie

Hilarie
FCP
540 520 8438

Internal Information

From: SHAO, PAUL Y
Sent: Wednesday, August 21, 2019 11:53 AM
To: Smith, Ann (Capital Region) <Ann.Smith@allstate.com>
Cc: Sweeney, Hilarie <HSWEE@allstate.com>; Peters, David <david.peters@allstate.com>
Subject: RE: Published books and Intent to publish memoirs

Dear Ann, attached please find copies of five Books' ISBNs and days of publication. They were published between 2009.1 to 2015.4 before I joined Allstate on 8/1/2015. Since 8/1/2015 I have not written any book. I only intend to publish my memoir after I exit Allstate.

There is no section in AFS, LLC, asking for my publications. I would be grateful if Hilarie would clarify the issue involved for me. Much obliged.

Regards,
Paul.

From: Smith, Ann (Capital Region)
Sent: Wednesday, August 21, 2019 11:02 AM
To: SHAO, PAUL Y
Cc: Sweeney, Hilarie; Peters, David
Subject: Published books and Intent to publish memoirs

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<https://webmail.allstate.com/owa/?ac=Item&t=IPM.Note&id=RgAAAADxb7xlvk9TRqH...> 8/29/2019

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Ann M. Smith Field Sales Leader Capital Region T: 703-994-1539 F: 877-863-6539 Ann.Smith@allstate.com



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Field Sales Leader
Capital Region
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F: 877-863-8539
Ann.Smith@allstate.com



Helping you build a better future.

- Be Your Own Boss
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Ann M. Smith

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图书在版编目(CIP)数据

李后主词的法与析 郭建群. —北京: 中国青年出版社, 2015.4
ISBN 978-7-5153-3148-5

I. ①李… II. ①郭… III. ①李煜(937-978)—词(文学)—词学研究
IV. ①I207.23

中国版本图书馆CIP数据核字(2015)第031218号

责任编辑: 万玉云
书籍设计: 雷中华

出版发行: 中国青年出版社
社址: 北京东四十二条21号

邮政编码: 100078

网 址: www.cyp.com.cn

传 真: 010-57850364

经 销: 010-57350395

编 辑: 010-57350405

赠阅书店: 010-57350370

印 刷: 北京科信印刷有限公司

经 销: 新华书店

开 本: 710 × 1000 1/16

印 张: 28

插 页: 2

字 数: 600千字

版 次: 2015年4月北京第1版

印 次: 2015年4月北京第1次印刷

定 价: 68.00元

本图书如有印装质量问题, 请凭购书发票与质检部联系调换
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图书在版编目(CIP)数据

《文心雕龙》这本书：文论及其时代/邵雍成著. —北京：中国社会科学出版社，2014.7

ISBN 978-7-5161-4371-1

I. ①文… II. ①邵… III. ①中国文学—古典文学—文学理论—南朝时代②《文心雕龙》—研究 IV. ①I206.2

中国版本图书馆CIP数据核字(2014)第126201号

出版人 赵剑英
责任编辑 郭 朋
责任校对 吕伟强
责任印制 戴 宽

出 版 中国社会科学出版社
社 址 北京鼓楼西大街甲158号(邮编100720)
网 址 <http://www.caspw.cn>
中文域名：中国社科网 010-64070519

发行部 010-84083685
门市部 010-84029450
经 销 新华书店及其他书店

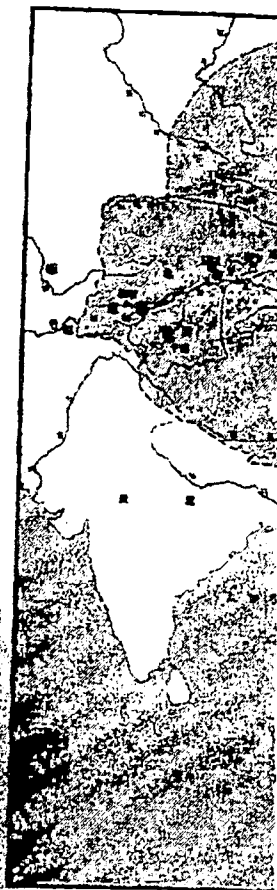
印 刷 北京君升印刷有限公司
装 订 廊坊市广阳区广增装订厂
版 次 2014年7月第1版
印 次 2014年7月第1次印刷

开 本 710×1000 1/16
印 张 26.5
字 数 435千字
定 价 78.00元

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五世纪中叶
图上界线参考《中国》

图书在版编目(CIP)数据

孔子, 走近真实的故事 邵耀成著. —北京: 中国社会科学出版社,
2013. 6

ISBN 978-7-5161-2605-9

I. ①孔… II. ①邵… III. ①孔丘(前 551~前 479)—生平事迹
IV. ①B222. 2

中国版本图书馆 CIP 数据核字(2013)第 09717 号

出版人 赵剑英
责任编辑 郭 明
责任校对 邓晓春
责任印制 李 惠

出 版 中国社会科学出版社
社 址 北京鼓楼西大街甲 158 号 (邮编 100720)
网 址 <http://www.cassp.cn>
中文域名: 中国社科网 010-64070619
发 行 部 010-84083685
门 市 部 010-84029450
经 销 新华书店及其他书店

印 刷 北京市大兴区新魏印刷厂
装 订 廊坊市广阳区广增装订厂
版 次 2013 年 6 月第 1 版
印 次 2013 年 6 月第 1 次印刷

开 本 710×1000 1/16
印 张 24
插 页 4
字 数 406 千字
定 价 69.00 元

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邵耀成
二〇一三年六月

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一女

製造
其他

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養生

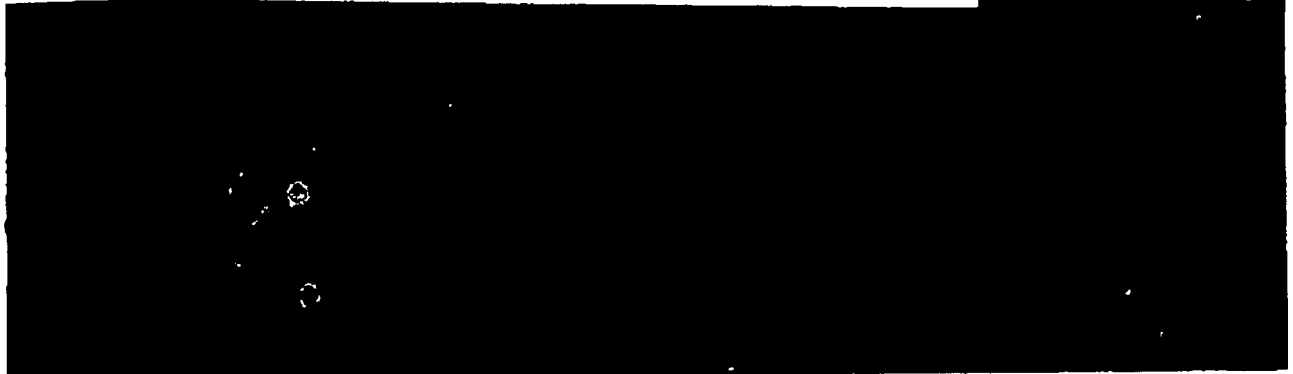
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孔子這個人 (郵購版) ...初版... 臺北市:
臺灣商務, 2010.11
面: 公分

(ISBN 978-957-05-2540-3(平裝))

1. (冊) 孔丘 2. 學術思想 3. 傳記

121.23 99018097



图书在版编目 (CIP) 数据

孔子这个人 与他所面对的问题 / 邵雍成著. - 北京: 中国社会科学出版社, 2009. 1

ISBN 978-7-5004-7546-0

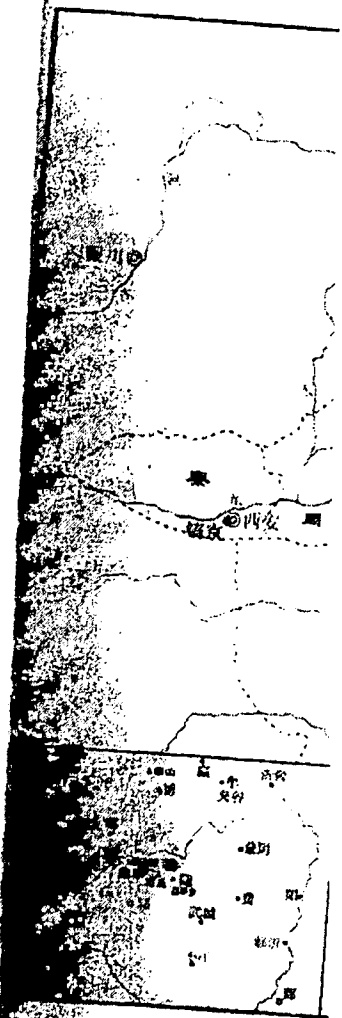
I. 孔… II. 邵… III. 孔丘 (前551~前479)-生平事迹 IV. 8222.2

中国版本图书馆 CIP 数据核字 (2008) 第 210651 号

责任编辑 冯广裕
责任校对 马谷
版式设计 木子

出版发行 中国社会科学出版社
社址 北京鼓楼西大街甲 158 号 邮编 100720
电话 010-84029450 (邮购)
网址 <http://www.cassp.cn>
经销 新华书店
印刷装订 北京一二一印刷厂
版次 2009 年 1 月第 1 版 印次 2009 年 1 月第 1 次印刷
开本 710 × 1000 1/16
印张 22.25 插页 3
字数 351 千字
定价 40.00 元

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现代重建性的历史地图

[External] OBA Questionnaire Review for Paul Shao: Unrestricted

noreply@reged.com on behalf of AFSCCompliance@RegEd.com

Sent: Friday, August 30, 2019 1:03 PM

To: SHAO, PAUL Y

Cc: Peters, David; Smith, Ann (Capital Region)

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Your Outside Business Activity (OBA) Questionnaire for "paul shao (1)" has been reviewed by your Regional Financial Services Leader (RFSL) and coded as: **Unrestricted**.

If any information changes regarding this OBA Questionnaire, please log into AFSRegistration/Trax and access the "Outside Business Activities" tab. Follow the instructions contained on that page to complete the re-submission process.

Reviewers Notes:

Approved, unrestricted

This email has been scanned for email related threats and delivered safely by Mimecast.
For more information please visit <http://www.mimecast.com>

Exhibit E.1

**Agent Jeff Shi's text message on agent Suzie Xu's termination
on 11-1-15 at 2:26 pm.**



Understand Wish you success.

Thank you!
You as well!

Nov 1, 2015 at 2:46 PM

You should ask Toni about Suzie
She just got terminated by Allstate
because her husband was selling
with out a license
But her book is a lot of Chinese
clients and you can probably buy it

Thanks Jeff.

Dec 18, 2015 at 10:25 AM

Hui Simon SH
Allstate EFS

Chinese mandarin speaking EFS from
MD
If you want another EFS to use for
your Chinese clients

OK I shal talk to u later

Apr 21, 2016 at 12:50 PM

I got the info from a last time What is
the HP model which you d support 3
monitors?

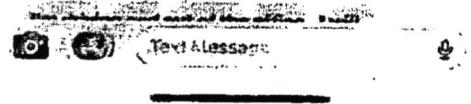


Exhibit F.1

As recent as 9-2-22, Ron Grumley, an Allstate agent in WI, asked, "What is TPP?" Ted Paris, the executive director of NAPAA (National Association of Professional Allstate Agents), replied, "Termination payment provision. The company 'buys back' your economic interest if you are terminated or resigns if you are vested. If you started scratch you have a 5 year vesting period. If purchased, the TPP is 1.5 times your eligible commission." (Facebook *All Agents Page*.)

Janette Woosley

Following. 1 tpp'd 23 months ago

Like Reply Sw



Ron Grumley

What is TPP?

Like Reply Sw



D. Z.

What's the PW for the blog?

Like Reply Sw



A. N.

More power to you with the notation that not all TPPed agencies were bought or sold.

Like Reply Sw



Ted Paris Admin Group expert

Termination payment provision. The Company "buys back" your economic interest if you are terminated or resigns if you are vested. If you started scratch you have a 5 year vesting period. If purchased, the TPP is 1.5 times your eligible commission.

Like Reply Sw Edited



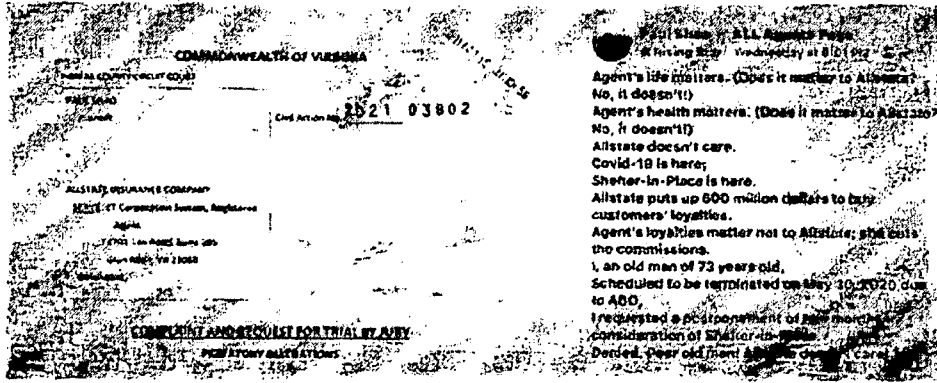
G. D.

Rocky I or II? lol Shao is on it.

Like

Exhibit F.2

When Ted Paris (“Mr. Paris,” who is the executive director of NAPAA, which stands for “National Association of Professional Allstate Agents”) expressed on 6/4/20, in response to Shao’s 6/3/20 poem post in the Facebook *All Agents Page* platform, his sympathy for Shao’s unfortunate plight, saying that many agents in Florida were very disturbed and agitated over what had happened to him. However, he deleted his own post and Shao’s poem post that very same day. Twenty or so agents supported his action of sympathy. About an hour after he deleted the posts, he messaged me, saying, “Such a shame – they have lost their soul.” It is the soul or mentality of a police state.



Menu

MAIN BLOG FORMAT ACRONYMS ACKNOWLEDGE BIO

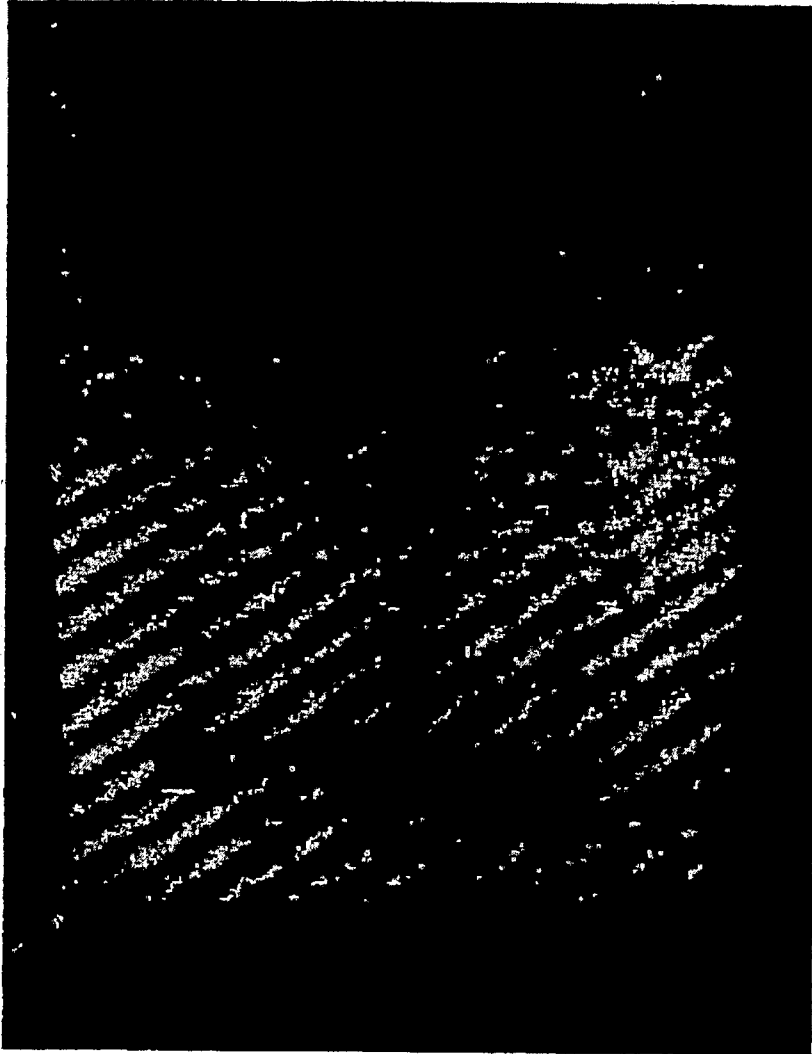
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Why Go Pro Se Litigant at Age 74 The Poem

Posted on July 11, 2022

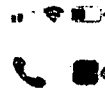
I wrote this poem in early June 2020 to vent out my disgusted feelings towards Allstate. I posted it in the evening of 6-3-20 and many responses the next days. Ted Paris, who was the executive director of NAPAA and the Administrator of the FB All Agents Page platform, had expressed great sympathy and support for me, reporting that many agents in Florida were very disturbed and agitated over what had happened to me. However, he deleted my poem post at 4:26 p.m. that very same date – maybe, under pressure from Allstate administration. Twenty agents supported his action with one agent, Jane W. Doe, stated plainly, "I'll add that I think this page would be better if it were limited to active agents. Thanks for moderating Ted Paris."



Unknown to agent Jane W. Doe, Ted sent me at 5 p.m., 34 minutes after deleting my post, via Messenger a message, which stated, "Let me know if you need any help. Recently I've talked to a number of mature agency owners who have had the same experience. One was 76 years old and 53 years with Sears/allstate. Such a shame - they have lost their soul. My cell is [redacted]." I always wonder whether Ted had included agent Jane W. Doe in his comment that "they have lost their soul."

10:05

  **Ted Paris**
Active 10m ago



Ted Paris

You're friends on Facebook
Lives in Indianapolis, Indiana

JUN 01, 5:00 PM

Let me know if you need any help. Recently I've talked to a number of mature agency owners who have had the same experience. One was 76 years old and 53 years with Sears/allstate. Such a shame-they have lost their soul. My cell is



I shall give a fuller recount in my blog.



12:57



Like

Comment

Share



Paul Shao ▶ **ALL Agents Page** ...

★ Rising Star · Wednesday at 8:01 PM ·

**Agent's life matters. (Does it matter to Allstate?
No, it doesn't!)**

**Agent's health matters. (Does it matter to Allstate?
No, it doesn't!)**

Allstate doesn't care.

Covid-19 is here;

Shelter-in-Place is here.

**Allstate puts up 600 million dollars to buy
customers' loyalties.**

**Agent's loyalties matter not to Allstate; she cuts
the commissions.**

I, an old man of 73 years old,

**Scheduled to be terminated on May 30, 2020 due
to ABO,**

**I requested a postponement of two months in
consideration of Shelter-in-Place.**

Denied. Poor old man! Allstate doesn't care!

**My landlord cared and agreed to terminate our
lease on May 31.**

**Due to Shelter-in-Place, I had a hard time finding
movers.**

**There came to rescue two brave souls, the
frontline heroes of our time,**

Who had compassion and who cared,

**Who told me, a 73 years old man, vulnerable to
virus, better not at the site,**

They would take care of everything.

**They said, "You're in good hands! Your life
matters! Your health matters!"**

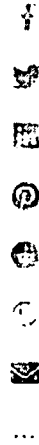
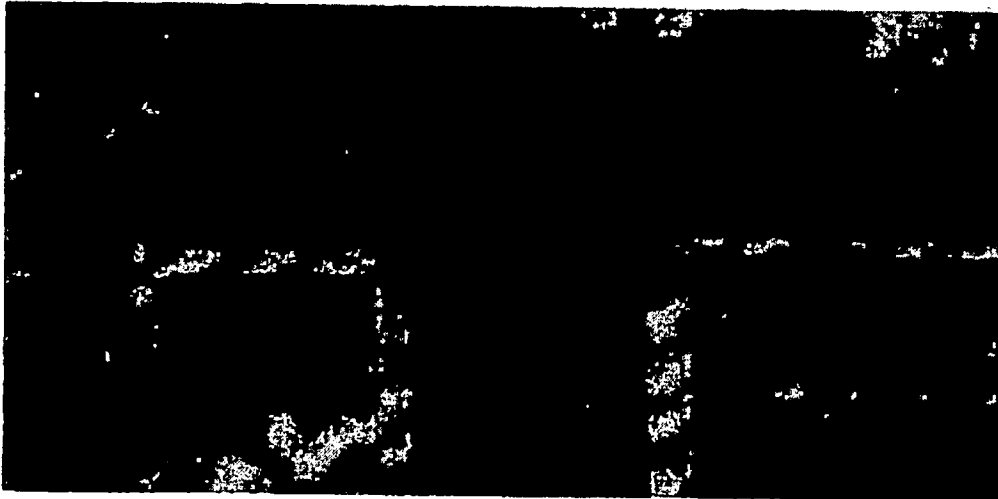
**(There was a spike of 21% of Covid-19 cases on
March 28, 2020, the day I vacated my office. I had
a living offer on April 29, 2020 and a hack in**



12:58



(There was a spike of 21% of Covid-19 cases on March 28, 2020, the day I vacated my office. I had a buying offer on April 29, 2020 and a backup buyer on May 25, 2020. This posting may very well jeopardize the process of these two buying applications. Then they may already be in a perilous condition. With my fingers crossed and blessings from my fellow agents I might overcome.)



Fairfax Circuit Court
Circuit Court
Receipt No. 1019408
Receipt Date: 05/12/2023 12:34 PM

Received of: Shao,Paul \$ 236.00

Two Hundred Thirty Six and 00/100
no plaintiff vs. AllState Insurance Company

Filer(s): Shao, Paul

Case	Amount
CL-2023-0007310	
Complaint (\$ 49,999.01-\$100,000)	236.00
Total:	236.00

Balance due court: \$ 0.00

Next fine/fee due date:

Next restitution due date:

Cash
Amount Tendered: 250.00
Overage: 0.00
Change Due: 14.00

John T. Frey, Clerk of Circuit Court

By: _____
Deputy Clerk
Clerk: AYOUN9